1614

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/057,629
Filing Date	01/25/2002
First Named Inventor	Harry R. Davis
Art Unit	1614
Examiner Name	To Be Assigned
Total Number of Pages in This Submission: 5	Attorney Docket Number: CV01382K

ENCLOSURES (*Check all that apply*)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please Identify below):

Form PTO-1449 (1 pg. in dup.); References (13); Post Card

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Ann Marie Cannoni, Reg. No. 35,972
Signature	
Date	April 28, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 28, 2003

Typed or printed	Ann Marie Cannoni		
Signature		Date	April 28, 2003

PATENT CASE **CV01382K**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Harry R. Davis**	Examiner: To Be Assigned
For: **USE OF SUBSTITUTED AZETIDINONE COMPOUNDS FOR THE TREATMENT OF SITOSTEROLEMIA**	Group Art Unit: 1614 Attorney Docket No.: CV01382K
Serial No.: **10/057,629**	
Filed: **January 25, 2002**	

Assistant Commissioner of Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully request that the following be considered and made of record, as well as the documents listed on the accompanying PTO Form 1449.

A research study was initiated on April 17, 1997 in the United States in which patients were administered capsules of the formulations of Exhibits A, B or C. Copies of the formulation Exhibits A, B and C and the informed consent form for the study (Exhibit 1) are submitted herewith for the Examiner's consideration.

A research study was initiated on October 21, 1997 in the United States in which patients were administered tablets of the formulations of Exhibits D or E or capsules of formulation of Exhibit C. Copies of the formulation Exhibits C, D and E and the informed consent for the study (Exhibit 2) are submitted herewith for the Examiner's consideration.

A research study was initiated on November 5, 1998 in the United States in which patients were administered tablets of formulations of Exhibits D, F, G or H. Copies of the formulation Exhibits D, F, G and H and the informed consent for the study (Exhibit 3) are submitted herewith for the Examiner's consideration.

A research study was initiated on April 20, 1999 in the United States in which patients were administered tablets of the formulation of Exhibit D, optionally in coadministration with digoxin. Copies of the formulation Exhibit D and the informed consent for the study (Exhibit 4) are submitted herewith for the Examiner's consideration.

A research study was initiated on August 27, 1999 in the United States in which patients were administered tablets of the formulation of Exhibit D optionally in coadministration with Gemfibrozil 600mg tablets. Copies of the formulation Exhibit D and the informed consent for the study (Exhibit 5) are submitted herewith for the Examiner's consideration.

In the Informed Consents accompanying the above research studies, Schering's active pharmaceutical ingredient, i.e., ezetimibe, was identified as "SCH 58235" and as an "experimental drug which inhibits the absorption of cholesterol". It was not identified by its chemical name, generic name or by its chemical formula.

It is our belief that these studies do not constitute prior public uses. Nevertheless, this information is being disclosed in accordance with 37 C.F.R. Section 1.56 out of an abundance of caution.

The Commissioner is authorized to charge Deposit Account No. 19-0365 for any additional fees deemed necessary for consideration and entry of this Information Disclosure Statement into the file record.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington D.C., 20231 on 4/28/03

Ann Marie Cannoni
Registered Representative

Signature

4/28/03
Date

Respectfully submitted

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